

☒ Declaration submitted with Initial Filing

☐ Declaration submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

Filing Date:

☐

-1-

United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status
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POWER OF ATTORNEY: As the named inventor(s), I (we) hereby appoint the following registered practitioner(s) to prosecute this application, and to transact all business connected therewith, in any patent office, U.S. or foreign.

Names:	Reg. No.	Name:	Reg. No.
Alan H. Thompson	29,981	Eddie E. Scott	25,220
L.E. Carnahan	20,555	James M. Skorich	27,594
Ann M. Lee	47,471		

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DECLARATION

I (We) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor

Eddy A. Stappaerts	<i>Eddy A. Stappaerts</i>
Full Name of Inventor	Signature
San Ramon, California	8/16/01
Residence (City, State or Foreign Country)	Date
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Postal Address (Street, City, State, Zip Code)	Citizenship

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eddy A. Stappaerts

Docket No. : IL-10722

Serial No. :

Art Unit :

Filed :

Examiner :

For : Free-Space Optical Communications Using Holographic Conjugation

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS [37 CFR 1.9 (f) and 1.27(d)] - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The Regents of the University of California
Office of General Counsel
1111 Franklin Street
Oakland, CA 94607-5200

TYPE OF ORGANIZATION

X University or other Institute of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Free-Space Optical Communications Using Holographic Conjugation

by inventor(s) Eddy A. Stappaerts

described in

X the specification filed herewith.

application serial no. , filed .

patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention, except for a license to a Federal Agency pursuant to 35 USC 202(c) (4).

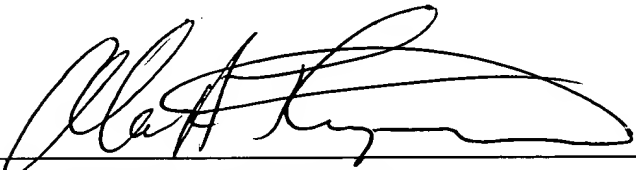
Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

 X no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ALAN H. THOMPSON
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ALAN H. THOMPSON

8/16/01
Date

PATENT